

PATENT

TED STATES PATENT AND TRADEMARK OFFICE

Customer Service Center In re Patent Application of

Initial Patent Examination

Division Afshan Ally et al.

Date: March 18, 2002 Serial No.: 09/997,186

Confirmation No.: 4495

Filed: November 28, 2001

: Docket No.: CA920000065US1

For: PROTOCOL-INDEPENDENT JSP INVOCATION

FILING OF MISSING PARTS OF NONPROVISIONAL APPLICATION FILING DECLARATION AND POWER OF ATTORNEY FILING ASSIGNMENT

To: Commissioner for Patents Customer Service Center Initial Patent Examination Division Washington, D.C. 20231

Sir:

On November 28, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the three (3) inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted", date mailed December 18, 2001, is also attached as per your instructions.

Respectfully submitted, Attorney for the Applicants

Attorney: Robert M. Hepp

Reg. No. 25,933 Tel. (914) 945-3147

IBM Thomas J. Watson Research Center Intellectual Property Law Department P.O. Box 218 Yorktown Heights, N.Y. 10598

Attorney Docket: CA920000065US1

TED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Afshan Ally et al

MAR 2 5 2000

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COPY OF PAPERS ORIGINALLY FILED

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Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Acknowledgment Post Card; 1.

- Filing of Missing Parts of Application Under 37 CFR 1.63 2. (in duplicate);
- Declaration and Power of Attorney Form; 3.
- Petition and Fee for Extension of Time (in duplicate); 4.
- Notice to File Missing Parts of Application (return copy); and 5.
- Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Customer Sevice Center Initial Patent Examination Division washington, DC 20231

on March 18, 2002

<u>Michelle Parra</u> (Print name of person certifying)

(Signature of person certifying)

Docket No.: CA920000065US1



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11/28/2001

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

Washington, D.C. 20231
www.uspto.gov
APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

Afshan Ally

CA920000065US1

CONFIRMATION NO. 4495

FORMALITIES LETTER

Gail H. Zarick Intellectual Property Law Dept. IBM Corporation P.O. Box 218 Yorktown Heights, NY 10598

09/997,186

Date Mailed: 12/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 NROCHA1 00000058 090468 09997186

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